

SEP 10 2001

Sheet 6 of 6

Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
07039-129002Application No.
09/865,785**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Jens PonikauFiling Date
May 25, 2001Group Art Unit
1614

TECH CENTER 1600/2900

SEP 13 2001

RECEIVED

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
W	AA	3,929,992	12/30/75	Sehgal et al.	424	122	
	AB	4,209,447	06/24/80	Heeres	260	340.9R	
	AC	4,402,957	09/06/83	Heeres et al.	424	250	
	AD	4,432,991	02/21/84	Dusza et al.	424	273 P	
	AE	4,334,538	06/15/82	Juhn			
	AF	4,883,785	11/28/89	Chow et al.			
	AG	4,916,134	04/10/90	Heeres et al.	514	252	
	AH	5,075,309	12/24/91	Heeres et al.	514	252	
	AI	5,080,899	01/14/92	Sturm et al.	424	122	
	AJ	5,248,504	09/28/93	Friedman	424	434	
	AK	5,310,545	05/1994	Eisen	424	49	
	AL	5,441,977	08/15/95	Russo et al.	514	411	
	AM	5,582,167	12/10/96	Joseph			
	AN	5,654,293	08/05/97	Francois et al.	514	171	
	AO	5,658,881	08/19/97	Gelland et al.	514	11	
W	AP	5,707,975	01/13/98	Francois et al.	514	58	

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
W	AQ	WO 95/08993	04/06/95	PCT	—	—		
W	AR	WO 97/03651	02/06/97	PCT	—	—		
W	AS	WO 97/17078	05/1997	PCT	—	—		

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
W	AT	Adam et al., <u>Medicine</u> , 1986, 65(4):203-217
W	AU	Akiyama et al., <u>Allergy</u> , 1996, 51(12):887-892
W	AV	Allphin et al., <u>Laryngoscope</u> , 1991, 101(8):815-820

Examiner Signature

W. Widdowson

Date Considered

10-4-01

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07039-129002	Application No. 09/865,785
Information Disclosure Statement by Applicant (Use several sheets if necessary.) (37 CFR §1.98(b))		Applicant Jens Ponikau	
		Filing Date May 25, 2001	Group Art Unit 1614

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
W	AW	Arrese et al., <u>J. Med. Vet. Mycol.</u> , 1995, 33(2):127-130
	AX	Bartynski et al., <u>Otolaryngol. Head Neck Surg.</u> , 1990, 103:32-39
	AY	Bassiouny et al., <u>J. Laryngol. Otol.</u> , 1982, 96:215-228
	AZ	Baumjohann et al., <u>TW Gynakologie</u> , 1999, 4:400-402
	AAA	Becelli et al., <u>Niverva Stomatologica</u> , 1995, 44:171-174
	ABB	Bent et al., <u>Allergy and Asthma Proc.</u> , 1996, 17(5):259-268
	ACC	Bent and Kuhn, <u>Otolaryngol. Head Neck Surg.</u> , 1994, 111:580-588
	ADD	Bent and Kuhn, <u>Laryngoscope</u> , 1996, 106:1331-1334
	AEE	Berman et al., <u>J. Allergy Clin. Immunol.</u> , 1974, 53(5):311-317
	AFF	Berrettini et al., <u>Acta Otorhinolaryngol. Ital.</u> , 1996, 16:447-454
	AGG	Birnbaum et al., <u>J. Am. Acad. Dermatol.</u> , 1990, 23(4, Part 2):782-785
	AHH	Black, <u>Proc. Roy. Soc. Med.</u> , 1960, 53:974-975
	All	Breuer et al., <u>Digestive Diseases and Sciences</u> , 1991, 36(2):185-187
	AJJ	Bullock et al., <u>Am. J. Ophthalmology</u> , 1974, 78(5):811-815
	AKK	Busch, <u>Arch. Intern. Med.</u> , 1994, 154(7):815-819
	ALL	"Cases of Pulmonary Mycosis in Compromised Hosts" 1975
	AMM	Cayton et al., <u>The Lancet</u> , 1974, pp. 303-307
	ANN	Chakrabarti et al., <u>Advances in Medical Mycology</u> , 1997, 2:39-59
	AOO	Chapman et al., <u>Gut</u> , 1994, 35(1):73-76
	APP	Clark et al., <u>J. Infection</u> , 1996, 32:147-150
	AQQ	Cody et al., <u>Laryngoscope</u> , 1994, 104:1074-1079
	ARR	Cody et al., <u>Rhinologic Diagnosis and Treatment</u> , Chapter 15, pp. 317-333 1995
	ASS	Cohen, <u>Ann. Otol. Rhinol. Laryngol.</u> , 1990, 99:427-431
	ATT	"Combining Hydrocortisone with an Antifungal on the Skin," <u>Drug and Therapeutics Bulletin</u> , 1985, 23(21):83-84
	AUU	Corey et al., <u>Otolaryngol. Head Neck Surg.</u> , 1995, 113:110-119
	AVV	Costa et al., <u>JAMA</u> , 1997, 278(22):1815-1822
W	AWW	Cox et al., <u>J. Clin. Microbiol.</u> , 1994, 32(9):2301-2304

Examiner Signature W - W. W. W.	Date Considered 10-4-01
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
07039-129002Application No.
09/865,785**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Jens PonikauFiling Date
May 25, 2001Group Art Unit
1614RECEIVED
SEP 13 2001
TECH CENTER 1600/2900**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
KW	AXX	Cross, <u>Chest</u> , 1995, 108:599-601
	AYY	De Carpentier et al., <u>J. Laryngol. Otol.</u> , 1994, 314-318
	AZZ	De Guadamar et al., <u>Ann. Oto-Laryng. (Paris)</u> , 1993, 110:198-202
	AAAA	Degreef, <u>Annales de Dermatologie et de Venerologie</u> , 1993, 120:21-31
	ABBB	Demaerel et al., <u>British J. Radiol.</u> , 1993, 66:260-263
	ACCC	Denning et al., <u>Chest</u> , 1991, 100:813-819
	ADDD	deShazo and Swain, <u>J. Allergy Clin. Immunol.</u> , 1995, 96:24-35
	AEEE	deShazo et al., <u>J. Allergy Clin. Immunol.</u> , 1997, 99(4):475-485
	AFFF	deShazo et al., <u>New England J. Med.</u> , 1997, 337(4):254-259
	AGGG	Dhindsa et al., <u>J. Med. Vet. Mycol.</u> , 1995, 33:59-61
	AHHH	Diehl, <u>Am. Fam. Physician</u> , 1996, 54(5):1687-1692
	AIII	Dubois et al., <u>Chest</u> , 1995, 108:750-753
	AJJJ	Dunand et al., <u>Clin. Infect. Diseases</u> , 1997, 25:267-272
	AKKK	Edwards et al., <u>Lancet</u> , 1964, 1:1349-1353
	ALLL	Ence et al., <u>Am. J. Rhinology</u> , 1990, 4(5):169-178
	AMMM	Falser, <u>Dermatologica</u> , 1984, 169(1):135-140
	ANNN	Farquhar et al., <u>Scott Med. J.</u> , 1984, 29:192-193
	AOOO	Favre et al., <u>Antimicrobial Agents and Chemotherapy</u> , 1996, 40(2):443-447
	APPP	Feger et al., <u>Annals of Allergy, Asthma & Immunology</u> , 1997, 79:221-225
	AQQQ	Fournier, <u>Thorax</u> , 1987, 42:831
	ARRR	Friedman et al., <u>Am. J. Clin. Pathol.</u> , 1991, 96:368-372
	ASSS	Germaud and Tuchais, <u>Chest</u> , 1995, 107:883
	ATTT	Goldstein, <u>Hospital Practice</u> , 1992, pp. 73-74, 79-81, 83-84, 87-88, 91-92
	AUUU	Haneke, <u>Z. Hautkr.</u> , 1982, 57(16):1165-1176
	AVVV	Haria et al., <u>Drugs</u> , 1996, 51(4):585-620
	AWWW	Harig et al., <u>New England J. Med.</u> , 1989, 320(1):23-28
KW	AXXX	Harlin et al., <u>J. Allergy Clin. Immunol.</u> , 1988, 81(5, Part 1):867-875

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449
(Modified)

U.S. Department of Commerce
Patent and Trademark Office

Attorney's Docket No.
07039-129002

Application No.
09/865,785

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Jens Ponikau

Filing Date
May 25, 2001

Group Art Unit
1614

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
W	AYYY	Heier et al., <u>Ophthalmology</u> , 1995, 102(5):713-717
	AZZZ	Horner et al., <u>Clin. Microbial. Rev.</u> , 1995, 8(2):161-179
	AAAAA	Hussain et al., <u>J. Pharm. Sci.</u> , 1984, 73(7):1300-1301
	ABBBB	Ismail et al., <u>Arch. Intern. Med.</u> , 1993, 153:1604-1606
	ACCCC	Jacobson et al., <u>J. Clin. Neuro-ophthalmol.</u> , 1992, 12(4):250-256
	ADDDD	Jonathan et al., <u>J. Laryngol. Otol.</u> , 1989, 103:1181-1183
	AEEEE	Kaliner et al., <u>Otolaryngol. Head Neck Surg.</u> , 1997, 11(6, Part 2):S1-S20
	AFFFF	Katzenstein et al., <u>J. Allergy Clin. Immunol.</u> , 1983, 72(1):89-93
	AGGGG	Kilburn, "The Innocuousness and Possible Therapeutic Use of Aerosol Amphotericin-B," U.S. Army Medical Research & Nutrition Laboratory, Fitzsimmons Army Hospital, Denver, Colorado, 1958, pp. 441-442
	AHHHH	Kinsella et al., <u>Clin. Otolaryngol.</u> , 1996, 21:389-392
	AIIII	Kintzel et al., <u>Ann. Pharmacother.</u> , 1994, 28(3):333-335
	AJJJJ	Kudo et al., <u>Kekkaku (Japan)</u> , 1997, 72(2):31-38
	AKKKK	Kupferberg et al., <u>Arch. Otolaryngol. Head Neck Surg.</u> , 1996, 122:1381-1384
	ALLLL	Kupferberg et al., <u>Otolaryngol. Head Neck Surg.</u> , 1997, 117:35-41
	AMMMM	LeBeau et al., <u>Mycoses</u> , 1994, 37:171-179
	ANNNN	Lemanske et al., <u>JAMA</u> , 1997, 278(2):1855-1873
	AOOOO	Mabry et al., <u>Otolaryngol. Head Neck Surg.</u> , 1995, 113(6):721-723
	APPPP	Mabry et al., <u>Otolaryngol. Head Neck Surg.</u> , 1997, 116(1):31-35
	AQQQQ	Macmillan et al., <u>Hum. Pathol.</u> , 1987, 18:960-964
	ARRRR	Manning et al., <u>Arch. Otolaryngol. Head Neck Surg.</u> , 1991, 117:174-178
	ASSSS	Marriott et al., <u>Dermatologica</u> , 1983, 166(Suppl. 1):1-7
	ATTTT	Maskin et al., <u>Ophthalmology</u> , 1989, 96:175-179
	AUUUU	Mathews et al., <u>Veterinary Surgery</u> , 1996, 25:309-319
	AVVVV	McKendrick et al., <u>Lancet</u> , 1958, pp. 621-622
	AWWWW	McKendrick and Medlock, <u>Lancet</u> , 1958, pp. 1229-1230
W	AXXXX	Meyer et al., <u>Medicine</u> , 1994, 73(2):69-78

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED

TECH CENTER 1600/2900

SEP 13 2001

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07039-129002	Application No. 09/865,785
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Jens Ponikau	
		Filing Date May 25, 2001	Group Art Unit 1614

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
ICW	AYYYY	Morgan et al., <u>Am. J. Clin. Pathol.</u> , 1984, 82(5):597-601
	AZZZZ	Morpeth et al., <u>Annals of Allergy, Asthma & Immunology</u> , 1996, 76:128-136, 139-140
	AAAAA	Muntz et al., <u>Otolaryngologic Clinics of North America</u> , 1996, 29(1):185-192
	ABBBB	Negroni et al., <u>Arch. Med. Res.</u> , 1993, 24(4):387-393
	ACCCC	Nicolau et al., <u>Ann. Pharmacother.</u> , 1995, 29:994-996
	ADDDD	Nishioka et al., <u>Otolaryngol. Head Neck Surg.</u> , 1994, 110(6):494-500
	AEEEE	Nolting et al., <u>Fortschritte der Medizin</u> , 1998, 115:22-28
	AFFFF	Nouri, <u>Laryngologie Rhinologie Otolologie</u> , 1986, 65(8):420-422
	AGGGG	Ogawa, <u>Japanese Society for Tuberculosis</u> , (Kekkaku), 1997, 72(2):59-64
	AHHHH	Pasha et al., <u>Gastroenterology</u> , 1997, 112:250-254
	AIIII	Philip and Keen, <u>Brief Report</u> , 1988, 14(2):222-224
	AJJJJ	Phillips et al., <u>Otolaryngol. Head Neck Surg.</u> , 1987, 96(6):577-579
	AKKKK	Piantoni et al., <u>Clin. Ter.</u> , 1989, 130:23-27
	ALLLL	Pingree et al., <u>Otolaryngol. Head Neck Surg.</u> , 1992, 106(3):302-305
	MMMM	Ponikau et al., "Chronic sinusitis/polypoid secondary to fungal organisms," Submitted to 43 rd Annual Meeting of the American Rhinologic Society, September 6, 1997, San Francisco, California
	ANNNN	Purcell and Corris, <u>Thorax</u> , 1995, 50:1321-1323
	AOOOO	Quraishi et al., <u>Otolaryngol. Head Neck Surg.</u> , 1997, 117:29-34
	APPPP	Ramos et al., "Oral administration of short-chain fatty acids reduce the intestinal mucositis caused by treatment with Ara-C in mice fed commercial or elemental diets," 1997, Abstract
	AQQQQ	Ramos et al., <u>Nutrition and Cancer</u> , 1997, 28(2):212-217
	ARRRR	Robb, <u>J. Laryngology and Otology</u> , 1986, 100:1071-1077
	ASSSS	Robson et al., <u>Aust. NZ J. Med.</u> , 1989, 19:351-353
	ATTTT	Roithmann et al., <u>Rhinology</u> , 1995, 33:104-110
	AUUUU	Rolston et al., <u>Reviews of Infectious Diseases</u> , 1985, 7(4):525-529
	AVVVV	Roth, <u>Ear, Nose & Throat J.</u> , 1994, 73:928-930
	WWWW	Sait et al., <u>Digestive Diseases</u> , 1970, 15:993-1002
	AXXXX	Schaffner, <u>Schweiz. Med. Wschr.</u> , 1991, 121:1413-1418
ICW	AYYYY	Schubert et al., <u>Z. Hautkr.</u> , 1984, 59(24):1681-1682, 1685-1686

Examiner Signature <i>W. Wadden</i>	Date Considered 10-4-01
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Sheet 6 of 6
 TECH CENTER 1600/2900
 SEP 13 2001
 RECEIVED

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07039-129002	Application No. 09/865,785
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Jens Ponikau	
		Filing Date May 25, 2001	Group Art Unit 1614

(37 CFR §1.98(b))

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
KW	AZZZZZ	Schwartz, <u>Annals of Allergy, Asthma & Immunology</u> , 1996, 77:500-502
	AAAAA	Schwietz et al., <u>Allergy Proc.</u> , 1992, 13(1):3-6
	BBBBB	Shale et al., <u>Thorax</u> , 1987, 42:26-31
	CCCCC	Shen et al., <u>Clin. Exp. Allergy</u> , 1996, 26(4):444-451
	DDDDD	Slavin et al., <u>J. Allergy</u> , 1970, 46(3):150-155
	AEEEEEE	Slavin, <u>JAMA</u> , 1997, 278(22):1849-1854
	AFFFFFF	Soyinka, <u>Curr. Med. Res. Opin.</u> , 1987, 10(6):390-396
	GGGGG	Stark, <u>Diseases of the Chest</u> , 1967, 51(1):96-99
	HHHHH	Stettendorf, <u>Arzneimittel Forschung (Drug Research)</u> , 1983, 33(5):750-754
	IIIIII	Stool, <u>AFP</u> , 1985, 32(6):101-107
	AJJJJJ	Swift et al., <u>J. Laryngology and Otology</u> , 1998, 112:92-97
	KKKKK	Tariq et al., <u>Clin. Exp. Allergy</u> , 1996, 26(7):794-798
	ALLLLL	Tiwari et al., <u>Mycoses</u> , 1995, 38:297-300
	MMMMM	Torres et al., <u>Hum. Pathol.</u> , 1996, 27(8):793-799
	NNNNN	Tosti et al., <u>Pediatric Dermatology</u> , 1997, 14(2):146-148
	OOOOO	Travis et al., <u>Hum. Pathol.</u> , 1991, 22(12):1240-1248
	APPPPP	Tsushima et al., <u>Internal Medicine</u> , 1996, 35(9):736-741
	QQQQQ	Urakami et al., "Clinical Features of Eight Cases of Opportunistic fungal Pneumonias," Fourth Department of Internal Medicine, Kinki University School of Medicine, Osakasayama, Japan 1984
	RRRRR	Van Cutsem <u>Mycoses</u> , 1989, 32(1):14-34
	ASSSSS	Vanden Bossche et al., <u>Am. J. Obstet. Gynecol.</u> , 1991, 165(4, Part 2):1193-1199
	ATTTTT	Waitzman et al., <u>J. Otolaryngol.</u> , 1994, 23(4):244-249
	UUUUU	Washburn et al., <u>Medicine</u> , 1988, 67(4):231-247
	VVVVV	Waxman et al., <u>Laryngoscope</u> , 1987, 97:261-266
	WWW	Wengrower et al., <u>Respiration</u> , 1985, 47:209-213
KW	XXXXX	Zieske et al., <u>Otolaryngol. Head Neck Surg.</u> , 1991, 105(4):567-577

Examiner Signature W. Wedderburn	Date Considered 10-4-01
-------------------------------------	----------------------------

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.